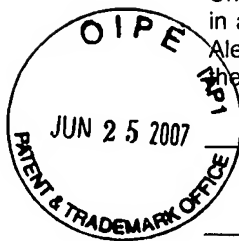


Pat  
CC 8

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, or sent via facsimile to 571-273-8300, on the date indicated below:



*Daniel B. Schein*  
Daniel B. Schein, Reg. No. 33,551

21 June 2007  
Date of Signature

Case No. 12309-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

VERSEY, Robert Joseph Montague

Serial No.: 10/589,817

Filed: August 17, 2006

For: IMPROVEMENTS FOR  
STEERING APPARATUS

Art Unit: To be assigned  
Examiner: To be assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Dear Commissioner:

Attached hereto are the following documents:

1. Copy of Notification of Missing Requirements dated 9 April 2007;
2. Original Signed Declaration of Inventor;
3. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address
4. Fee Transmittal Form PTO/SB/17
5. Credit Card Payment Form PTO 2038 for US \$765
6. Preliminary Amendment
7. Petition for one-month extension of time
8. Assignment Cover Sheet and Assignment

11. Return Postcard

Dated: 21 June 2007

PO Box 68128  
Virginia Beach, VA 23471  
Telephone: (757) 965-5218  
Facsimile: (757) 965-6775

Respectfully submitted,

  
\_\_\_\_\_  
Daniel B. Schein, Ph.D., Esq.

Registration No. 33,551  
Attorney for Applicant(s)

07/03/2007 JKURTZ1 00000001 10589817

01 FC:8021 40.00 OP  
02 ~~FC:1206~~ ~~725.00 OP~~

Void date: 07/03/2007 LLANDGRA

07/03/2007 JKURTZ1 00000001 10589817  
02 ~~FC:1206~~ ~~-725.00 OP~~

Void date: 07/03/2007 LLANDGRA

07/03/2007 JKURTZ1 00000001 10589817  
02 ~~FC:1206~~ ~~-725.00 OP~~

07/03/2007 LLANDGRA 00000018 10589817

02 FC:2617 65.00 OP  
03 FC:2614 100.00 OP  
04 FC:1206 500.00 OP

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2007****Complete if Known**

Application Number 10/589,817

Filing Date 17 August 2006

First Named Inventor VERSEY

Examiner Name

Art Unit

Attorney Docket No. 12309-2

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 765

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	500
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
20	- 20 or HP = 0	x	= 0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
4	- 3 or HP = 1	x 100	= 100

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Assignment Recordal (\$40), Surcharge &amp; Extension (\$125)

Fees Paid (\$)

165

**SUBMITTED BY**

Signature	<i>Daniel B. Schein</i>	Registration No. 33,551 (Attorney/Agent)	Telephone 757-965-5218
Name (Print/Type)	DANIEL B. SCHEIN, PH.D., ESQ.		Date 21 June 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

1010-76612



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/589,817	FIRST NAMED APPLICANT Robert Joseph Montague Versey	ATTY. DOCKET NO. JAMES124.001APC
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INTERNATIONAL APPLICATION NO.
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PCT/NZ05/00024

I.A. FILING DATE 02/18/2005	PRIORITY DATE 02/18/2004
--------------------------------	-----------------------------

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 2346

371 FORMALITIES LETTER

OC000000023285412

Date Mailed: 04/09/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/17/2006
- Copy of the International Search Report filed on 08/17/2006
- Copy of IPE Report filed on 08/17/2006
- Small Entity Statement filed on 08/17/2006
- Request for Immediate Examination filed on 08/17/2006
- U.S. Basic National Fees filed on 08/17/2006
- Priority Documents filed on 08/17/2006

GREER, BURNS & CRAIN, LTD.  
2007 MAY 22 AM 8:46

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$2030 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$2095 for a Small Entity:

- \$65 Surcharge.
- Total additional claim fee(s) for this application is \$ 2030
  - \$300 for 3 independent claims over 3.
  - \$1550 for 62 total claims over 20.
  - \$180 for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/589,817	PCT/NZ05/00024	JAMES124.001APC

FORM PCT/DO/EO/905 (371 Formalities Notice)

# Unsuccessful Authorization

Date: 06-27-2007

User ID: MKAYPAGH

## Processing Center Response

DECLINE

## Local Response

DECLINE



02 July - Left message for Dan.  
(Expire date)

A handwritten signature or mark in the bottom right corner.

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**RAM** Fee History  
Query  
Revenue Accounting and Management

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Name/Number: 10589817

Total Records Found: 5

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
07/03/2007	00000001	1	8021	\$40.00	07/02/2007	CC
07/03/2007	00000002	1	1206	\$725.00	07/02/2007	CC
<del>08/24/2006</del>	<del>00000265</del>	<del>1</del>	<del>2631</del>	<del>\$150.00</del>	<del>08/17/2006</del>	<del>CK</del>
<del>08/24/2006</del>	<del>00000266</del>	<del>1</del>	<del>2642</del>	<del>\$200.00</del>	<del>08/17/2006</del>	<del>CK</del>
<del>08/24/2006</del>	<del>00000267</del>	<del>1</del>	<del>2633</del>	<del>\$100.00</del>	<del>08/17/2006</del>	<del>CK</del>

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